

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No.	Sp02-165	Total Pages	2
First Named Inventor or Application Identifier: Bhagavatula et al.				
Title: Apparatus and Methods For Passive Alignment of Optical Elements				
Express Mail Label No.		EV 139063049 US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on July 23, 2003
(Date)

Signature

Colleen E. Doherty
Colleen E. Doherty

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10/626457



07/23/03

1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 9]
4. Oath or Declaration [Total Pages]
 - a. ☐ Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) 15. ☐ Other:
(if foreign priority is claimed)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner:

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME	Timothy M. Schaeberle				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-3164	FAX	(607) 974-3848
Name (Print/Type)	Timothy M. Schaeberle			Registration No. (Attorney/Agent)	34,424
Signature	<u>Timothy M. Schaeberle</u>			Date	7/23/03

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FEE TRANSMITTAL for FY 2003

3

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Bhagavatula, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP02-165

TOTAL AMOUNT OF PAYMENT (\$888.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
1001	750		Utility filing fee	<u>750.00</u>
1002	330		Design filing fee	—
1003	520		Plant filing fee	—
1004	750		Reissue filing fee	—
1005	160		Provisional filing fee	—

SUBTOTAL (1) (\$750.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	23 - 20** = 3	3 x 18 =	54.00
Independent Claims	4 - 3** = 1	1 x 84 =	84.00

Multiple Dependent 0 = 0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
Code	(\$)		
1202	18		Claims in excess of 20
1201	84		Independent claims in excess of 3
1203	280		Multiple dependent claim, if not paid
1204	84		** Reissue independent claims over original patent
1205	18		** Reissue claims in excess of 20 and over original patent

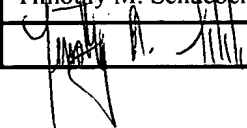
SUBTOTAL (2) (\$138.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
1051	130		Surcharge - late filing fee or oath	—
1052	50		Surcharge - late provisional filing fee or cover sheet	—
1053	130		Non-English specification	—
1812	2,520		For filing a request for reexamination	—
1804	920*		Requesting publication of SIR prior to Examiner action	—
1805	1,840		Requesting publication of SIR after Examiner action	—
1251	110		Extension for reply within first month	—
1252	410		Extension for reply within second month	—
1253	930		Extension for reply within third month	—
1254	1,450		Extension for reply within fourth month	—
1255	1,970		Extension for reply within fifth month	—
1401	320		Notice of Appeal	—
1402	320		Filing a brief in support of an appeal	—
1403	280		Request for oral hearing	—
1451	1,510		Petition to institute a public use proceeding	—
1452	110		Petition to revive - unavoidable	—
1453	1,300		Petition to revive - unintentional	—
1501	1,300		Utility issue fee (or reissue)	—
1502	470		Design issue fee	—
1503	630		Plant issue fee	—
1460	130		Petitions to the Commissioner	—
1807	50		Petitions related to provisional applications	—
1806	180		Submission of Information Disclosure Stmt	—
8021	40		Recording each patent assignment per property (times number of properties)	—
1809	750		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	—
1810	750		For each additional invention to be examined (37 C.F.R. § 1.129(b))	—
1801	750		Request for Continued Examination (RCE)	—
1802	900		Request for expedited examination of a design application	—

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY			Completed (if applicable)	
Name (Print/Type)	Timothy M. Schaeberle	Registration No. (Attorney/Agent)	34,424	
Signature		Date	7/25/03	